

1  
2  
3  
4  
5  
6  
7 UNITED STATES DISTRICT COURT  
8 NORTHERN DISTRICT OF CALIFORNIA  
9  
10  
11

12 CATHOLIC CHARITIES CYO, et al.,  
13 Plaintiffs,  
14 v.  
15 MICHAEL CHERTOFF, et al.,  
16 Defendants.  
17 \_\_\_\_\_ /

No. C 07-1307 PJH

**ORDER GRANTING MOTION  
TO DISMISS**

17 The motion of defendants Michael Chertoff, Secretary, U.S. Department of  
18 Homeland Security (“the Secretary”); U.S. Department of Homeland Security (“DHS”); and  
19 U.S. Citizenship and Immigration Services (“USCIS”) to dismiss the first amended  
20 complaint (“FAC”) for lack of subject matter jurisdiction and failure to state a claim came on  
21 for hearing before this court on June 25, 2008. Plaintiffs appeared by their counsel Peter  
22 A. Schey and Carlos R. Holguin, and defendants appeared by their counsel Jeffrey S.  
23 Robins. Having read the parties’ papers and carefully considered their arguments and the  
24 relevant legal authority, and good cause appearing, the court hereby GRANTS the motion.

25 **STATUTORY AND REGULATORY BACKGROUND**

26 With the enactment of the Victims of Trafficking and Violence Protection Act of 2000  
27 (“VTPA”), Congress created the “U” nonimmigrant classification (“U” visa) for victims of  
28 certain qualifying crimes. VTPA, Pub. L. 106-386, 114 Stat. 1464 (2000), codified at

1 §§ 101(a)(15)(U), 214(p), and 245(m) of the Immigration and Nationality Act (“INA”), 8  
2 U.S.C. §§ 1101(a)(15)(U), 1184(p), and 1255(m).<sup>1</sup>

3 The VTVPA, permits nonimmigrants (undocumented immigrants) who are victims of  
4 serious crimes and who assist law enforcement in investigating and prosecuting those  
5 crimes to apply for and receive “U” visas. The duration of the “U” visa is four years. An  
6 immigrant who has held a “U” visa for three years may apply for lawful permanent resident  
7 status.

8 Congress enacted the “U” visa provision in order to

9 strengthen the ability of law enforcement agencies to detect, investigate, and  
10 prosecute cases of domestic violence, sexual assault, trafficking of aliens,  
11 and other crimes described in section 101(a)(15)(U)(iii) of the Immigration and  
Nationality Act committed against aliens, while offering protection to victims of  
such offenses in keeping with the humanitarian interests of the United States.  
12 This visa will encourage law enforcement officials to better serve immigrant  
crime victims and to prosecute crimes committed against aliens.

13 VTVPA, Pub. L. 106-386, at § 1513(a)(2)(A). Congress intended that the “U” visa provision  
14 would facilitate the reporting of crimes to law enforcement officials by “trafficked, exploited,  
15 victimized, and abused aliens who are not in lawful immigration status” and would give “law  
16 enforcement officials a means to regularize the status of cooperating individuals during  
17 investigations or prosecutions.” Id. at § 1513(a)(2)(B).

18 The “U” nonimmigrant visa is available for issuance to an alien who,

19 (i) subject to [8 U.S.C. § 1184(p)], . . . files a petition for status under this  
20 subparagraph, if the Secretary of Homeland Security determines that –

21 (I) the alien has suffered substantial physical or mental abuse as a  
result of having been a victim of criminal activity described in clause (iii);

22 (II) the alien (or in the case of an alien child under the age of 16, the  
parent, guardian, or next friend of the alien) possesses information  
23 concerning criminal activity described in clause (iii);

24 (III) the alien (or in the case of an alien child under the age of 16, the  
parent, guardian, or next friend of the alien) has been helpful, is being helpful,  
25 or is likely to be helpful to a Federal, State, or local law enforcement official,

---

27 <sup>1</sup> The VTVPA has three divisions: The Trafficking Victims Protection Act of 2000; the  
Violence Against Women Act of 2000; and a division with Miscellaneous Provisions. Section  
28 1513, the “U” visa provision, is part of the Violence Against Women Act of 2000.

1 to a Federal, State, or local prosecutor, to a Federal or State judge, to the  
2 Service, or to other Federal, State, or local authorities investigating or  
3 prosecuting criminal activity described in clause (iii); and

4 (IV) the criminal activity described in clause (iii) violated the laws of the  
United States or occurred in the United States (including in Indian country and  
military installations) or the territories and possessions of the United States;

5 (ii) if accompanying, or following to join, the alien described in clause (i)--

6 (I) in the case of an alien described in clause (i) who is under 21 years  
7 of age, the spouse, children, unmarried siblings under 18 years of age on the  
date on which such alien applied for status under such clause, and parents of  
such alien; or

8 (II) in the case of an alien described in clause (i) who is 21 years of  
9 age or older, the spouse and children of such alien; and

10 (iii) the criminal activity referred to in this clause is that involving one or more  
11 of the following or any similar activity in violation of Federal, State, or local  
12 criminal law: rape; torture; trafficking; incest; domestic violence; sexual  
assault; abusive sexual contact; prostitution; sexual exploitation; female  
genital mutilation; being held hostage; peonage; involuntary servitude; slave  
trade; kidnaping; abduction; unlawful criminal restraint; false imprisonment;  
blackmail; extortion; manslaughter; murder; felonious assault; witness  
tampering; obstruction of justice; perjury; or attempt, conspiracy, or  
solicitation to commit any of the above mentioned crimes;

15 8 U.S.C. § 1101(a)(15)(U)(i)-(iii).

16 A petitioner may not submit an "U" visa application without first obtaining a law  
17 enforcement certification ("LEC") from a federal, state, or local law enforcement official,  
18 prosecutor, judge, or other federal, state, or local authority investigating the criminal activity  
19 described in § 1101(a)(15)(U)(iii), above, stating that the alien "has been helpful, is being  
20 helpful, or is likely to be helpful in the investigation or prosecution of" such criminal activity.  
21 investigating specific criminal activity. See 8 U.S.C. § 1184(p)(1). The certification may  
22 also be provided by a DHS official whose ability to provide such certification is not limited to  
23 information concerning immigration violations. Id.

24 Congress also empowered the Attorney General<sup>2</sup> with discretion to convert the  
25 status of such immigrants to that of permanent residents "when doing so is justified on  
26 humanitarian grounds, for family unity, or is otherwise in the public interest." See VTVPA,

28 

---

<sup>2</sup> With the creation of DHS, this authority devolved to the Secretary.

1 Pub. L. 106-386, at § 1513(a)(2)(C). Thus, under 8 U.S.C. § 1255(m), the Secretary “may  
2 adjust the status of an alien admitted into the United States (or otherwise provided  
3 nonimmigrant status) under [the “U” visa provision of § 1101(a)(15)(U)] to that of an alien  
4 lawfully admitted for permanent residence . . . .” 8 U.S.C. § 1255(m)(1).

5 In addition, if the Secretary has approved the adjustment of status of an alien under  
6 subsection (1), the Secretary “may adjust the status of or issue an immigrant visa to a  
7 spouse, a child, or in the case of an alien child, a parent who did not receive a  
8 nonimmigrant visa [under the “U” visa provision of § 1101(a)(15)(U)] if the Secretary  
9 considers the grant of such status or visa necessary to avoid extreme hardship.” 8 U.S.C.  
10 § 1255(m)(3).

11 When it enacted the VTVPA, Congress did not provide a deadline for the affected  
12 agencies to promulgate regulations with regard to the “U” visas. In 2005, Congress passed  
13 the Violence Against Women Act (“VAWA 2005”), which the president signed on January 5,  
14 2006. That act required the Attorney General, the Secretary of Homeland Security, and the  
15 Secretary of State to promulgate regulations to implement the “U” visa sections of the  
16 VTVPA “not later than 180 days after the date of enactment.” VAWA 2005, Pub. L. 109-  
17 162 at § 828, 119 Stat. 2960, 3006, codified at 8 U.S.C. § 1101. Thus, the deadline for  
18 promulgation of the “U” visa regulations was July 4, 2006.

19 In the absence of final regulations, the Department of Homeland Security operated  
20 from interim guidance with regard to the “U” visa applications. On August 30, 2001 and on  
21 October 8, 2003, the Immigration and Naturalization Service (“INS”) and USCIS<sup>3</sup> issued  
22 interim guidance to establish interim procedures to be followed while the agencies acted to  
23 promulgate regulations implementing the “U” visa and its protections. Mem. from Michael  
24 D. Cronin to Michael A. Pearson, re VTVPA Policy – “T” and “U” Nonimmigrant Visas (Aug.  
25 30, 2001); Mem. from William R. Yates to Director, Vermont Service Center, re  
26 Centralization of Interim Relief for U Nonimmigrant Status Applications (Oct. 3, 2003).

27

28

---

<sup>3</sup> USCIS is the successor, for purposes of the VTVPA, to the INS.

1        This guidance, now superceded by the interim final regulations, allowed potential "U"  
2 victims who established *prima facie* eligibility for "U" non-immigrant status to be placed in  
3 deferred action, paroled, or granted stays of removal, and to be granted work authorization  
4 to work in the United States, to ensure that they were not removed from the country before  
5 the agency could determine whether they were eligible for relief. The guidance also  
6 provided that such victims might receive work authorization.

7        Prior to the promulgation of the final regulations, there was no formal application to  
8 be used for "U" visas. The October 3, 2003 guidance provided that aliens who believed  
9 they qualified for interim relief could submit correspondence along with evidentiary proof  
10 addressing the four criteria listed in 8 U.S.C. § 1101(a)(15)(U)(i)(I)-(IV). If the applicant did  
11 not submit sufficient information to establish *prima facie* eligibility, or was otherwise  
12 determined to be ineligible for interim relief, the applicant would receive a letter indicating  
13 the reason the agency could not grant interim relief. Beginning in October 2005, all such  
14 requests were processed at USCIS' Vermont Service Center. Oct. 3, 2003, Guidance at 2-  
15 5; see Fonseca-Sanchez v. Gonzales, 484 F.3d 439, 442 n.5 (9th Cir. 2007).

16        On January 6, 2006, USCIS issued further guidance. Mem. from Michael Aytes to  
17 Director, Vermont Service Center, re Applications for U Nonimmigrant Status (Jan. 6,  
18 2006). This guidance indicated that when USCIS began adjudicating applications for "U"  
19 visas, applicants who were granted interim relief and whose "U" visa applications were  
20 subsequently approved would have their "U" nonimmigrant status recorded as of the date  
21 the request for "U" interim relief was approved. This guidance ensured that future "U" visa  
22 recipients would not lose time accrual for other immigration benefits, and that potential "U"  
23 visa recipients would not be prejudiced while the agency acted to implement the "U" visa  
24 program.

25        On April 11, 2007, DHS sent an interim final rule for approval for publication in the  
26 Federal Register. The regulations were published as an interim final rule on September 17,  
27 2007. See 72 FR 53,014 (Sept. 17, 2007), to be codified at 8 C.F.R. parts 103, 212, 214,  
28 248, 274a, and 299.

1 The regulations became effective on October 17, 2007. The regulations provided  
2 that potential "U" visa petitioners who had previously received interim relief in the form of a  
3 deferred action would have until April 16, 2008 to file a Form I-918 in order to petition for a  
4 "U" visa and maintain the benefits of their interim relief during the adjudication of their "U"  
5 visa petition. The regulations also described the process for reviewing and screening "U"  
6 visa petitions, including the provision that where additional evidence is required for  
7 adjudication purposes, USCIS adjudicators would send a request for evidence ("RFE") to  
8 the petitioner.

9 Following publication of the interim final rule in the Federal Register, USCIS  
10 continued to review the comments that were received prior to the November 16, 2007,  
11 deadline. As a means of clarifying the interim final regulations and responding to a number  
12 of the comments prior to promulgation of a final rule, USCIS issued clarifying guidance on  
13 March 27, 2008. Mem. from Michael Aytes to Field Leadership, re New Classification for  
14 Victims of Criminal Activity – Eligibility for “U” Nonimmigrant Status (Mar. 27, 2008). This  
15 guidance instructed adjudicators, among other things, to consider the ages required to  
16 establish the eligibility of derivative beneficiaries based on the date that USCIS received a  
17 petitioner’s request for interim relief in the form of a deferred action.

## PROCEDURAL BACKGROUND

19 The present action was filed as a proposed class action on March 6, 2007. On  
20 August 16, 2007, the court issued an order granting in part and denying in part defendants'  
21 motion to dismiss, with leave to amend. The first amended complaint ("FAC") was filed on  
22 February 19, 2008, by five organizational and 18 individual plaintiffs. Following is a  
23 summary as the court understands the allegations.

24 The organizational plaintiffs are Catholic Charities CYO, International Institute of the  
25 East Bay, Voces Unidas Project of the Center for Human Rights and Constitutional Law,  
26 Sanctuary for Families, and Diocesan Migrant and Refugee Services, Inc. These five  
27 organizations provide services to immigrants, including assisting undocumented immigrants  
28 to obtain legal status.

1       The individual plaintiffs are Elizabeth Lopez Gomez, Sandra Bucio, Constantina  
2 Campos, Maria Estervina Perez, Francisca Ramirez Alvarez, Irma Morena Sanvicente,  
3 Maria Luisa Arroyo Torres, Alma Rosa Padilla de Hernandez, Maria Hernandez, Rosa  
4 Amezquita Razo, Antonio Perez Garcia, Veronica Reyes Bonilla, Sergio Bucio Perez,  
5 Andres Bucio Perez, Marlinda V. Clarke, Patricia Garcia, Blanca Rossell, and Melchor  
6 Vargas Silva.

7       Plaintiffs Elizabeth Lopez Gomez, Sandra Bucio, Constantina Campos, Maria  
8 Estervina Perez, and Francisca Ramirez Alvarez are individuals who are alleged to be  
9 statutorily eligible for "U" visas because they are victims of serious crime who cooperated  
10 with the authorities and provided useful information, and who received certifications to that  
11 effect from law enforcement. Plaintiffs allege that these individuals applied for "U" visas at  
12 various times, and were placed in "deferred status" pending finalization of the regulations  
13 and implementation of the "U" visa program.

14       Plaintiffs Irma Morena Sanvicente, Maria Luisa Arroyo Torres, and Alma Rosa  
15 Padilla de Hernandez are individuals who are alleged to be statutorily eligible for "U" visas  
16 because they are victims who cooperated with the authorities and provided useful  
17 information, and who received certifications to that effect from law enforcement. However,  
18 unlike the first five plaintiffs listed above, these three plaintiffs were allegedly not placed in  
19 "deferred status" by USCIS because the law enforcement certifications were not issued  
20 within six months prior to the date each applied for the "U" visa, as required by the VTVPA;  
21 and, in the case of Arroyo Torres and Padilla de Hernandez, the certifications were also not  
22 signed by the head of a law enforcement agency designated to sign such certifications, as  
23 required by the regulations.

24       Plaintiffs Rosa Amezquita Razo, Antonio Perez Garcia, Veronica Reyes Bonilla, and  
25 Melchor Vargas Silva are individuals alleged to be victims of serious crime that fell under  
26 the jurisdiction of federal law enforcement (generally U.S. Border Patrol, a division of U.S.  
27 Customs and Border Protection), who cooperated with investigative authorities and are  
28 otherwise eligible for "U" visas, but for whom defendants have allegedly refused to issue

1 LECs.

2 Plaintiffs Sergio Bucio Perez and Andres Bucio Perez are the minor children of  
3 plaintiff Sandra Bucio, and are alleged to be statutorily eligible for derivative "U" visas.  
4 Plaintiffs allege that but for defendants' failure to issue "U" visas to these plaintiffs when  
5 they first applied, they would have accrued three years' in "U" visa status as of June 2007,  
6 and since that time would have been eligible for lawful permanent residence.

7 Plaintiff Marlinda V. Clarke is the child of crime victim plaintiff Maria Hernandez; and  
8 plaintiff Patricia Garcia is the child of a crime victim who is not named as a plaintiff in this  
9 action. Plaintiffs claim that plaintiffs Clarke and Garcia were formerly eligible for derivative  
10 "U" visas based on their parents' eligibility for "U" visas, but are no longer eligible because  
11 they have now passed their 21st birthdays.

12 Plaintiff Blanca Rossell is the mother of a crime victim and was formerly eligible for a  
13 derivative "U" visa, but is alleged to be no longer eligible because her child is over the age  
14 of 21. Plaintiff Rossell's child is not a plaintiff.

15 Plaintiffs allege that while defendants have now promulgated the necessary  
16 regulations, they have failed to redress certain injuries that plaintiffs claim resulted from  
17 defendants' delay in implementing the "U" visa program. These claimed injuries include  
18 loss of accrued time toward eligibility for lawful permanent residence and naturalization.

19 Plaintiffs assert six causes of action:

20 (1) failure to implement the "U" visa program until September 17, 2007; and  
21 continuing failure to issue "U" visas, and legal permanent residency regulations or status to  
22 persons entitled to such status, in violation of the VTVPA; the INA, 8 U.S.C. § 1103; the  
23 Violence Against Women Act; the APA; and the due process and equal protection clauses  
24 of the Fifth Amendment to the United States Constitution, FAC ¶¶ 57-58;

25 (2) denial of derivative "U" visas to persons eligible during the alleged "seven-  
26 year delay period" between the signing of the VTVPA and the promulgation of the  
27 regulations, in violation of the VTVPA; the INA, 8 U.S.C. § 1103; the VAWA; the APA;  
28 and the due process and equal protection clauses of the Fifth Amendment to the United

1 States Constitution, FAC ¶¶ 60-61;

2 (3) imposition of “unreasonable requirements” for LECs without statutory  
3 authority, in violation of the INA, 8 U.S.C. §§ 1101(a)(15)(U) and 1184(p)(1); and the due  
4 process and equal protection clauses of the Fifth Amendment to the United States  
5 Constitution, FAC ¶ 63;

6 (4) refusal to issue and set standards for issuance of law enforcement  
7 certifications to victims or crimes investigated by DHS officers or agents, in violation of the  
8 VTVPA; the APA; and the due process and equal protection clauses of the Fifth  
9 Amendment to the United States Constitution, FAC ¶ 65;

10 (5) denial of equal protection to U.S. citizen crime victims by denying “U” visa  
11 eligibility “to the undocumented immigrant parents of United States citizen children who are  
12 the victims of crimes and who or whose parents cooperated with law enforcement agencies  
13 in the investigation or prosecution of such crimes, while granting such benefit to the  
14 immigrant parents of undocumented and lawful permanent resident children,” FAC ¶ 67;  
15 and

16 (6) refusal to issue documentation of employment authorization to derivative “U”  
17 visa holders except upon separate application and payment of fee, in violation of the  
18 VTVPA; and the due process and equal protection clauses of the Fifth Amendment to the  
19 United States Constitution.

20 Plaintiffs seek a judicial declaration that the policies, procedures, and practices  
21 alleged in the FAC violate the INA, as modified by the VTVPA; the VAWA; the APA; and  
22 the due process and equal protection clauses of the Fifth Amendment to the United States  
23 Constitution. Plaintiffs also seek injunctive relief.

24 Defendants now seek an order dismissing the FAC, arguing that the court lacks  
25 subject matter jurisdiction because certain of plaintiffs’ claims are moot or unripe, and  
26 because plaintiffs lack standing; and also arguing that the FAC fails to state a claim as to  
27 certain causes of action.

28 **DISCUSSION**

## 1 A. Legal Standards

## 2 1. Dismissal for Lack of Subject Matter Jurisdiction

3 Federal courts are courts of limited jurisdiction, possessing only that power  
4 authorized by Article III of the United States Constitution and statutes enacted by Congress  
5 pursuant thereto. See Bender v. Williamsport Area Sch. Dist., 475 U.S. 534, 541 (1986).  
6 Thus, federal courts have no power to consider claims for which they lack subject-matter  
7 jurisdiction. See Chen-Cheng Wang ex rel. United States v. FMC Corp., 975 F.2d 1412,  
8 1415 (9th Cir. 1992). The court is under a continuing duty to dismiss an action whenever it  
9 appears that the court lacks jurisdiction. Id. Federal courts are courts of limited  
10 jurisdiction. Stock West, Inc. v. Confederated Tribes, 873 F.2d 1221, 1225 (9th Cir. 1989).

11 The burden of establishing that a cause lies within this limited jurisdiction rests upon  
12 the party asserting jurisdiction. Kokkonen v. Guardian Life Ins. Co. of America, 511 U.S.  
13 375, 377 (1994); see also Tosco Corp. v. Communities for a Better Env't, 236 F.3d 495,  
14 499 (9th Cir. 2001). The defendant may either challenge jurisdiction on the face of the  
15 complaint or provide extrinsic evidence demonstrating lack of jurisdiction on the facts of the  
16 case. White v. Lee, 227 F.3d 1214, 1242 (9th Cir. 2000). Where the defendants  
17 challenge jurisdiction over certain claims of the complaint solely as a matter of law, all  
18 allegations of the complaint are taken as true and all disputed issues of fact are resolved in  
19 favor of the non-moving party. Love v. United States, 915 F.2d 1242, 1245 (9th Cir. 1990).

20 A motion to dismiss for lack of subject matter jurisdiction may also be a "speaking  
21 motion" in which the defendant actually challenges the existence of subject matter  
22 jurisdiction. In such a case, "[n]o presumptive truthfulness attaches to plaintiff's allegations,  
23 and the existence of disputed material facts will not preclude the trial court from evaluating  
24 for itself the merits of jurisdictional claims." Thornhill Publ'g v. Gen. Tel. & Elec. Corp., 594  
25 F.2d 730, 733 (9th Cir. 1979). Moreover, the plaintiff must establish jurisdiction with  
26 evidence such as affidavits or depositions. See Schwarzer, Tashima & Wagstaffe, Federal  
27 Civil Procedure Before Trial (2008) §§ 9:77-78.

28 Standing is a critically important jurisdictional limitation, "an essential and

1 unchanging part of the case-or-controversy requirement of Article III." Lujan v. Defenders  
2 of Wildlife, 504 U.S. 555, 560 (1991). It is not subject to waiver; like subject matter  
3 jurisdiction generally, it must be considered by the federal courts even if the parties do not  
4 raise it. United States v. Hays, 515 U.S. 737, 742 (1995). Plaintiffs bear the burden of  
5 establishing standing. Central Delta Water Agency v. United States, 306 F.3d 938, 947  
6 (9th Cir. 2002).

7 The doctrine of standing incorporates both constitutional requirements and  
8 "prudential considerations." Gladstone Realtors v. Village of Bellwood, 441 U.S. 91, 99  
9 (1979). To establish a "case or controversy" within the meaning of Article III, the plaintiff  
10 must show an injury in fact that is concrete and not conjectural, a causal connection  
11 between the injury and the defendant's conduct or omissions, and a likelihood that the  
12 injury will be redressed by a favorable decision. Lujan, 504 U.S. at 559-61. Plaintiffs may  
13 have to establish "prudential" standing by showing that the interest sought to be protected  
14 is arguably within the zone of interests to be protected by the statute in question. National  
15 Credit Union Adm. v. First Nat'l Bank & Tr. Co., 522 U.S. 479, 488 (1998).

16 2. Dismissal for Failure to State a Claim

17 A motion to dismiss under Rule 12(b)(6) tests for the legal sufficiency of the claims  
18 alleged in the complaint. Ileto v. Glock, Inc., 349 F.3d 1191, 1199-1200 (9th Cir. 2003).  
19 Review is limited to the contents of the complaint. Allarcom Pay Television, Ltd. v. Gen.  
20 Instrument Corp., 69 F.3d 381, 385 (9th Cir. 1995). To survive a motion to dismiss for  
21 failure to state a claim, a complaint generally must satisfy only the minimal notice pleading  
22 requirements of Federal Rule of Civil Procedure 8. Rule 8(a)(2) requires only that the  
23 complaint include a "short and plain statement of the claim showing that the pleader is  
24 entitled to relief." Fed. R. Civ. P. 8(a)(2).

25 Specific facts are unnecessary – the statement need only give the defendant "fair  
26 notice of the claim and the grounds upon which it rests." Erickson v. Pardus, 127 S.Ct.  
27 2197, 2200 (2007) (citing Bell Atlantic Corp. v. Twombly, 127 S.Ct. 1955, 1964-65 (2007)).  
28 All allegations of material fact are taken as true. Erickson, 127 S.Ct. at 2200. However, a

1 plaintiff's obligation to provide the grounds of his entitlement to relief "requires more than  
2 labels and conclusions, and a formulaic recitation of the elements of a cause of action will  
3 not do." Bell Atlantic, 127 S.Ct. at 1964-65 (citations and quotations omitted). Rather, the  
4 allegations in the complaint "must be enough to raise a right to relief above the speculative  
5 level." Id. at 1965. A motion to dismiss should be granted if the complaint does not proffer  
6 enough facts to state a claim for relief that is plausible on its face. See id. at 1966-67.

7 B. Defendants' Motion

8 Defendants argue that each of the six causes of action alleged in the FAC should be  
9 dismissed. They separate the discussion of the statutory claims from the discussion of the  
10 constitutional claims.

11 1. First cause of action – statutory claims

12 In the first cause of action, plaintiffs allege that defendants failed to implement the  
13 "U" visa program until September 17, 2007; and have also failed to issue "U" visas, and  
14 legal permanent residency ("LPR") regulations or status to persons entitled to such status,  
15 in violation of the VTVPA; the INA, 8 U.S.C. § 1103; the VAWA; and the APA.

16 The first cause of action appears to consist of three separate claims based on the  
17 VTVPA and the APA – (a) that defendants failed to implement the "U" visa provision  
18 (8 U.S.C. § 1101(a)(15)(U)) until September 17, 2007; (b) that defendants continue to deny  
19 "U" visas and LPR status to crime victims and their close family members who are lawfully  
20 entitled to such status; and (c) that defendants unlawfully failed to issue lawful permanent  
21 status regulations.

22 Defendants argue that the first cause of action should be dismissed for four reasons.  
23 First, they contend that the claim of failure to implement the "U" visa program until  
24 September 17, 2007, should be dismissed for lack of standing and as moot. Defendants  
25 assert that no plaintiff has standing to challenge defendants' alleged delay in the  
26 promulgation of regulations where the remedy for such violation – a court order directing  
27 the promulgation of the regulations – would not address any of the plaintiffs' alleged  
28 injuries. For the same reason, they argue, this claim is moot.

1       Second, defendants assert that the claims regarding the alleged delay in  
2 promulgating adjustment regulations for “U” visa recipients – the claim that defendants  
3 unlawfully failed to issue regulations allowing eventual “U” visa recipients to apply for LPR  
4 status – should be dismissed for lack of ripeness, as none of the plaintiffs are “U” visa  
5 recipients, and thus have not suffered the harm alleged, regarding the accrual of time for  
6 LPR status.

7       Third, defendants contend that none of the plaintiffs has standing to challenge  
8 USCIS’ alleged unlawful failure to grant “U” visas and LPR status to plaintiffs because  
9 plaintiffs have no clear right to receive those benefits. In addition, defendants note that the  
10 FAC does not allege any violation of plaintiffs’ right to apply for “U” visas, but rather asserts  
11 a claim based on an alleged violation of plaintiffs’ right to receive “U” visas. Defendants  
12 contend that because no plaintiff can show that he/she had a right to receive a “U” visa  
13 during the seven months since the “U” visa regulations became effective, this claim should  
14 be dismissed for lack of standing.

15       Fourth, defendants assert that the court lacks jurisdiction over this claim because  
16 the pace and outcome adjudications of “U” visa petitions and lawful permanent residence  
17 status applications are not legally required and are committed to agency discretion by law.  
18 Defendants contend that because there is no requirement that obligates USCIS to grant “U”  
19 visas or LPR status to plaintiffs, and because there is no requirement that controls when  
20 USCIS must complete the adjudication of “U” visa petitions and applications for LPR  
21 status, and because there is no requirement that USCIS cut short the statutorily-mandated  
22 time an alien must have been in LPR status to gain eligibility for naturalization, the court  
23 should find that it lacks jurisdiction over the first cause of action.

24       In opposition, plaintiffs assert that the regulations promulgated by defendants have  
25 made no plaintiff or proposed class member whole, and assert that the claim alleging the  
26 unlawful “seven-year delay” in issuing “U” visas therefore remains justiciable. Plaintiffs  
27 argue that they have standing based on the injury caused by defendants’ continuing delay  
28 in issuing “U” visas (including the alleged delay in adjudicating “U” visa applications, and

1 the continuing injury from past delay); and that they have standing to sue for timely  
2 adjudication of their eligibility for “U” visas, regardless of whether they are ultimately  
3 granted such visas, because it is based on their “right to apply” for “U” visas. They also  
4 assert that after seven years (apparently referring to the time from the enactment of the  
5 VTVPA to the filing of the present action), their challenge to defendants’ delay in  
6 implementing the “U” visa program is more than ripe for review.

7 The motion to dismiss the statutory claims in the first cause of action is GRANTED.  
8 As an initial matter, the court notes that plaintiffs’ repeated reference to the “seven-year  
9 delay” in implementing the “U” visa program is misleading. Congress did not issue a  
10 directive to promulgate regulations to implement the program until it enacted VAWA 2005,<sup>4</sup>  
11 at which time, Congress gave USCIS 180 days to promulgate regulations – or until July 5,  
12 2006. The interim final regulations were published on September 17, 2007. Thus, any  
13 delay that can be attributed to defendants regarding the promulgation of “U” visa  
14 regulations is at most 14 months.

15 Moreover, the claim regarding the failure to promulgate “U” visa regulations is now  
16 moot, and the motion to dismiss that claim is GRANTED. An issue is moot “when the  
17 issues presented are no longer ‘live’ or the parties lack a legally cognizable interest in the  
18 outcome.” City of Erie v. Pap’s A.M., 529 U.S. 277, 287 (2000). If the parties cannot  
19 obtain any effective relief, any opinion about the legality of a challenged action would be  
20 advisory. Id. “[A]n actual controversy must be extant at all stages of review, not merely at  
21 the time the complaint is filed.” Arizonans for Official English v. Arizona, 520 U.S. 43, 67  
22 (1997) (citation and quotation omitted). Here, the claim is moot because the regulations  
23 were published as an interim final rule in the Federal Register more than fifteen months  
24 ago, six months after plaintiffs filed the present action. A federal court has no authority to  
25 give opinions on moot questions. See id.

26  
27 

---

<sup>4</sup> Indeed, defendants point out, the VTVPA was silent with regard to any deadline for  
28 the promulgation of “U” visa regulations, although it did set specific deadlines for other  
provisions of the VTVPA.

1       The court also finds that plaintiffs lack standing to challenge the delay in the  
2 promulgation of the regulations. The APA grants federal court standing to any “person  
3 suffering legal wrongs because of agency action, or adversely affected or aggrieved by  
4 agency action within the meaning of a relevant statute.” 5 U.S.C. § 702. A plaintiff seeking  
5 judicial review of agency action under the APA must meet not only the constitutional  
6 requirements of standing, but must also demonstrate prudential standing. National Credit  
7 Union Admin., 522 U.S. at 488.

8       Thus, to establish standing under the APA, a plaintiff must show injury in fact,  
9 causation, a likelihood of redressability, and that he falls “within the ‘zone of interests’ to be  
10 protected or regulated by the underlying statute in question.” Graham v. Federal  
11 Emergency Management Agency, 149 F.3d 997, 1001 (9th Cir. 1998); see also National  
12 Credit Union, 522 U.S. at 487-90. Here, the court finds that plaintiffs lack standing to  
13 challenge the delay in the promulgation of regulations because the remedy for such  
14 violation – a court order directing the promulgation of the regulations – would not address  
15 any of the plaintiffs’ alleged injuries.

16       The court finds further that the claims regarding the alleged delay in promulgating  
17 adjustment regulations for “U” visa recipients is not ripe. “The ripeness doctrine prevents  
18 premature adjudication. It is aimed at cases that do not yet have a concrete impact upon  
19 the parties arising from a dispute, in an analysis similar to the injury-in-fact inquiry under  
20 the standing doctrine.” Schwarzer, Tashima & Wagstaffe, Federal Civil Procedure Before  
21 Trial (2008 ed.) § 2:1278.

22       In determining whether issues raised in cases seeking injunctive and declaratory  
23 judgment remedies are ripe for review, courts look at the fitness of the issues for judicial  
24 review, and the hardship to the parties if the court were to withhold consideration. Abbot  
25 Laboratories v. Gardner, 387 U.S. 136, 149 (1967).

26       With regard to the fitness of the issues for review, courts generally consider whether  
27 the matter is purely legal, whether consideration of the issue would benefit from a more  
28 concrete foundation, and whether the agency’s action is final. See National Park

1 Hospitality Ass'n v. Department of Interior, 538 U.S. 803, 811 (2003). Here, because none  
 2 of the plaintiffs have received "U" visas, and there is no clear evidence that any of the  
 3 plaintiffs will receive "U" visas, the facts are not fully developed enough for the court to find  
 4 that plaintiffs can challenge USCIS' obligations regarding the promulgation of an  
 5 adjustment-of-status rule.

6 With regard to the hardship on the parties, courts generally consider whether any  
 7 delay would cause hardship to the plaintiffs, whether the court's intervention would interfere  
 8 with further administrative action, and whether the court would benefit from further factual  
 9 development. See Ohio Forestry Ass'n v. Sierra Club, 523 U.S. 726, 733 (1998). Here,  
 10 dismissing a challenge to a claim of delay regarding plaintiffs' eligibility for adjustment of  
 11 status – where eligibility for "U" visas has not yet been adjudicated – would pose no  
 12 immediate detrimental impact.<sup>5</sup>

13 Finally, the court finds that plaintiffs lack standing to assert the claim of failure to  
 14 issue the "U" visas and grant LPR status. The VTVPA vests the Secretary with discretion  
 15 to determine eligibility for "U" visas, and also whether to adjust the status of the immigrant  
 16 applicants, and the applicable statutes do not mandate a particular outcome or confer any  
 17 established or protected interest in the grant of a "U" visa or LPR status. Thus, because  
 18 the outcome of such determinations are committed to USCIS' discretion by law, plaintiffs  
 19 lack standing to challenge the alleged failure to grant "U" visas or LPR status. See 5  
 20 U.S.C. § 701(a)(2); Brock v. Pierce County, 476 U.S. 253, 260 n.7 (1986) (APA entitles any

---

21  
 22 <sup>5</sup> Only seven of the individual plaintiffs are alleged to be eligible for adjustment of status  
 23 – Elizabeth Lopez Gomez; Sandra Bucio; Constantina Campos; Maria Estervina Perez;  
 24 Francisca Ramirez Alvarez; Sergio Bucio Perez, and Andres Bucio Perez – and of those,  
 plaintiffs do not allege that Campos and Ramirez Alvarez have petitioned for a "U" visa. In  
 addition, the petitions of Lopez Gomez and Estervina Perez cannot be adjudicated until USCIS  
 receives responses to its RFEs.

25 Only Sandra Bucio and her two sons appear to be near the point of having their "U" visa  
 26 petitions adjudicated. However, the Bucio plaintiffs cannot show injury because if their  
 27 petitions are denied, the alleged harm caused by USCIS' failure to promulgate adjustment of  
 28 status regulations will be merely hypothetical, and if the petitions are granted, the regulations  
 will likely be promulgated during the six-month period that is normally required to process an  
 adjustment of status application in the San Francisco District Office.

1 person “affected or aggrieved by agency action to judicial review,” 5 U.S.C.  
2 § 702, unless the relevant statute precludes judicial review or “agency action is committed  
3 to agency discretion by law,” *id.* § 701(a)(1)).

4 When an agency is presented with an unreasonable delay claim under the APA, it  
5 can be compelled to take action only where the action in question is a “discrete action that  
6 [the agency] is legally required to take.” *Norton v. Southern Utah Wilderness Alliance*, 542  
7 U.S. 55, 63 (2004). Here, because the statutes vest the Secretary with discretion as to the  
8 issuance of “U” visas and LPR status, and because there is no legal requirement for USCIS  
9 to complete the adjudication of “U” visa petitions and applications for LPR status within a  
10 particular time period, the court lacks jurisdiction to review the claims asserted in the first  
11 cause of action.

12 2. Second cause of action – statutory claims

13 In the second cause of action, plaintiffs allege that defendants failed to timely  
14 implement the “U” visa program and illegally failed to issue “U” visas to persons eligible  
15 “during the seven year delay period.” The second cause of action appears to assert a  
16 claim that USCIS’ regulations act to illegally deny derivative U visa status because of the  
17 date used to determine eligibility.

18 The VTVPA provides that parents, spouses, children, and unmarried siblings (under  
19 18 years of age) may derive “U” visa benefits from principal relatives who are under the age  
20 of 21 on the date on which “such alien applied for [“U” visa] status,” but that only spouses  
21 and children (under 21 years of age) may derive “U” visa status from principal relatives over  
22 21 years of age. 8 U.S.C. §§ 1101(a)(15)(U)(ii)(I), (II).

23 Plaintiffs assert that the result of defendants’ delay in implementing the “U” visa  
24 program is that plaintiffs Marlinda Clarke and Patricia Garcia, who would have been eligible  
25 to receive derivative “U” visas as children of crime victims, have now “aged out” of eligibility  
26 because they have passed the age of 21. Plaintiffs also contend that plaintiff Blanca  
27 Rossell is the parent of a crime-victim child who would have been eligible for a derivative  
28 “U” visa but is no longer eligible because the child has passed the age of 21.

1 Defendants argue that the second cause of action should be dismissed as moot and for  
2 lack of standing, because the March 27, 2008 guidance eliminated the claimed injury. The  
3 March 27, 2008 guidance instructs adjudicators to determine derivative “U” visa eligibility  
4 based on, if possible, the date that the interim relief beneficiaries first applied for such  
5 benefits, in order to ensure that interim relief beneficiaries and their derivative parents,  
6 spouses, or children are not prejudiced by the fact that it took USCIS some time to  
7 promulgate the “U” visa regulations.

8 Under this guidance, if a crime victim who is under the age of 21 requested interim  
9 relief in the form of deferred action prior to the date he/she turned 21, then his/her parents  
10 would be eligible for derivative “U” visas even after the crime victim turned 21. Similarly, if  
11 an adult crime victim requested interim relief in the form of deferred action prior to the time  
12 his/her minor children turned 21, those children would be eligible for derivative “U” visas  
13 after they turned 21.

14 Here, defendants assert, Marlinda Clarke and Patricia Garcia appear eligible to  
15 receive derivative “U” visa benefits if their principals’ applications are granted, because the  
16 FAC alleges that their requests for interim relief in the form of deferred action were  
17 received before either turned 21. Defendants also contend, however, that plaintiffs do not  
18 allege that the principal beneficiaries from whom Clarke and Garcia claim to derive their  
19 derivative status applied for “U” visas.

20 Marlinda Clarke’s mother Maria Hernandez is alleged to be a victim of felony assault  
21 who cooperated with law enforcement in the investigation of the crime against her. In  
22 December 2006, Hernandez petitioned USCIS for benefits for herself and her two  
23 daughters. Clarke allegedly turned 21 four days after Hernandez submitted the petition. In  
24 April 2007, defendants granted Hernandez and her daughters deferred action, but did not  
25 issue “U” visas because the “U” visa program had not yet been implemented. Plaintiffs do  
26 not allege that Hernandez subsequently applied for a “U” visa. In February 2008,  
27 defendants notified Hernandez that Clarke’s application for a “U” visa was being returned to  
28 her because she had turned 21 and was therefore ineligible for derivative status.

1 Patricia Garcia's mother is alleged to be a crime victim who cooperated with law  
2 enforcement in the investigation of that crime. In July 2007, USCIS granted Garcia interim  
3 relief, but did not issue a "U" visa because the "U" visa program had not yet been  
4 implemented. Plaintiffs do not allege that Garcia's mother subsequently applied for a "U"  
5 visa. They assert only that Garcia turned 21 in September 2007, and is now deemed  
6 ineligible for a derivative "U" visa.

7 It appears that Blanca Rossell might be eligible to claim derivative benefits based on  
8 her under-21 daughter's alleged eligibility as a "U" visa applicant, as asserted in the FAC.  
9 However, the FAC also alleges that Rossell's daughter Georgette Fernandez was born on  
10 April 25, 1980. FAC ¶ 23. If true, this would mean that she turned 21 on April 25, 2001,  
11 five years prior to the time that plaintiffs allege that Rossell would have begun accruing time  
12 towards lawful permanent resident status. In addition, the statement that Fernandez was  
13 born on April 25, 1980 conflicts with the statement that Fernandez turned 21 on September  
14 16, 2007. *Id.*

15 Defendants contend that because plaintiffs do not allege that the principal  
16 beneficiaries from whom Marlinda Clarke, Patricia Garcia, or Blanca Rossell claim to derive  
17 their derivative status have petitioned for a "U" visa, it is impossible for Clarke, Garcia, or  
18 Rossell to have their alleged injuries redressed, because they are otherwise unable to  
19 receive derivative "U" visas even if the court provides some remedy for their alleged  
20 injuries. Similarly, defendants contend, the organizational plaintiffs lack standing because  
21 the individual plaintiffs lack standing.

22 Thus, defendants argue, whether the court finds that the March 27, 2008 guidance  
23 has mooted out plaintiffs' claims, or that no plaintiff has standing to assert the second  
24 cause of action, this claim should be dismissed.

25 In opposition, plaintiffs assert that the March 27, 2008 guidance is "largely  
26 unintelligible," and even if improperly construed favorably to defendants, does nothing for  
27 "aged-out" family members ineligible for deferred action. Plaintiffs claim that the guidance  
28 is insufficient to moot plaintiffs' claims, because it does not specify what sort of "filing" will

1 trigger the age freeze, and because it contains no suggestion that USCIS has notified  
2 persons whose applications CIS expressly rejected prior to issuance of the guidance that  
3 they may and should re-submit their application for derivative U-visas. Plaintiffs contend  
4 they retain standing to sue for injunctive relief to compel defendants to notify rejected  
5 applicants of their right to re-submit applications for derivative "U" visas for re-adjudication  
6 pursuant to the March 27, 2008 guidance.

7 Finally, plaintiffs assert that the March 27, 2008 guidance is ineffectual because it  
8 applies only to individuals who applied for interim relief. They contend, however, that many  
9 family members of crime victims did not apply for interim relief because they believed they  
10 were ineligible, based on the policy stated in the October 8, 2003 guidance (requiring a  
11 showing of extreme hardship if the family member were removed from the United States, in  
12 addition to the other requirements for a derivative "U" visa), and would not be excluded  
13 from the purported benefits of the March 27 guidance no matter how it is interpreted.

14 The court finds that the motion to dismiss the second cause of action must be  
15 GRANTED. The general claim that defendants have illegally denied derivative status to  
16 unidentified plaintiffs who had "aged out" of eligibility has been mooted by the issuance of  
17 the March 27, 2008 guidance.

18 As for plaintiffs Marlinda Clarke, Patricia Garcia, and Blanca Rossell, it appears that  
19 they are not eligible for derivative "U" visas, as the FAC does not allege that the principal  
20 beneficiaries from whom they claim to derive their derivative status actually applied for "U"  
21 visas. If, in fact, their principal beneficiaries did apply for "U" visas, plaintiffs may amend  
22 the complaint to so allege.

23 3. Third and sixth causes of action – statutory claims

24 The third cause of action alleges that defendants imposed unreasonable  
25 requirements for law enforcement certifications without statutory authority, in violation of 8  
26 U.S.C. §§ 1101(a)(15)(U) and 1184(p)(1); and the sixth cause of action alleges that  
27 defendants refused to issue documentation of employment authorization to derivative "U"  
28 visa holders, except upon separate application and payment of a fee, in violation of the

1 VTVPA.

2 Defendants argue that the third and sixth causes of action fail because the VTVPA  
3 provides no private right of action. They also assert that plaintiffs fail to state a claim that  
4 USCIS' regulations conflict with the VTVPA or are otherwise an impermissible construction  
5 of the statute.

6 Defendants' first argument is that plaintiffs cannot independently challenge a  
7 violation of the VTVPA. They contend that neither federal question jurisdiction nor the  
8 Declaratory Judgment Act is sufficient to confer jurisdiction, and that the VTVPA does not  
9 create a private right of action.

10 In opposition, plaintiffs assert that the court rejected this argument when defendants  
11 previously raised it in the motion to dismiss the original complaint.<sup>6</sup> In addition, in what  
12 appears to be an attempt to evade the page limits set forth in Civil Local Rule 7-4(b),  
13 plaintiffs incorporate by reference the arguments made regarding this issue in their  
14 opposition to the motion to dismiss the original complaint.

15 A private right of action to enforce an alleged violation of a federal statute must be  
16 created by Congress. Alexander v. Sandoval, 532 U.S. 275, 287 (2001). Absent an  
17 express or implied statutory intent to create a private right of action to enforce a statute and  
18 its accompanying remedy, a cause of action does not exist and courts may not create one.  
19 Id. at 286-87. The question whether Congress intended to create a private right of action is  
20 "definitely answered in the negative where a statute by its terms grants no private rights to  
21 any identifiable class." Gonzaga Univ. v. Doe, 536 U.S. 273, 283-84 (2002). In addition,  
22 even if the statute creates explicit rights, the plaintiff must also show that "the statute  
23 manifests an intent to create not just a private right but also a private remedy." Id. at 284.

24 The VTVPA is a regulatory statute, and the "U" visa provision does not contain an  
25 express private right of action. Thus, the court must determine whether the statute  
26 contains an implied private right of action. The factors the court must consider include  
27

---

28 <sup>6</sup> The court does not agree with this assessment of its ruling in the previous motion to  
dismiss.

1 whether the plaintiff is a member of the class for whose special benefit the statute was  
2 enacted; whether there is any indication of legislative intent to imply a civil remedy for the  
3 plaintiff; whether it is consistent with the statute's purpose to allow a private right; and  
4 whether the cause of action is traditionally relegated to state law. Cort v. Ash, 422 U.S. 66,  
5 78 (1975). The ultimate test is "whether Congress intended to create the private remedy  
6 asserted." Transamerica Mortg. Advisors, Inc. v. Lewis, 444 U.S. 11, 15-16 (1979); see  
7 also Alexander, 532 U.S. at 286.

8 In their prior opposition, plaintiffs argued that they clearly belong to the class for  
9 whose benefit the "U" visa law was enacted. They also asserted that the "U" visa statute  
10 "embodies an unmistakable, if implicit, legislative intent to create a remedy," as it requires  
11 that the "U" visa process be initiated by the crime victim (which requirement, they asserted,  
12 shows Congress' intent that the "U" visa statute confer individual rights, enforceable by a  
13 private right of action). They argued further that the legislative history supported an intent  
14 to create enforceable rights, as one of its stated purposes was to offer protection to alien  
15 crime victims. Finally, they asserted that the claims for relief asserted in this action are a  
16 matter of exclusive federal prerogative, not state law.

17 The court finds that the motion to dismiss the claims under the third and sixth causes  
18 of action must be GRANTED, because plaintiffs have failed to establish that they have a  
19 private right of action under the VTVPA. The parties agree that the pertinent sections of  
20 the INA do not contain an express private right of action. To determine whether there is an  
21 implied private right of action, the court applies the four Cort v. Ash factors. The court finds  
22 that while plaintiffs satisfy the first factor, as they are clearly part of the class for whose  
23 benefit the statute was enacted, see 8 U.S.C. § 1101(a)(15)(U), they do not satisfy the  
24 second or third factors.

25 With regard to the second factor – whether there is any indication of legislative intent  
26 to imply a civil remedy for the plaintiff – § 1101(a)(15)(U) simply sets forth eligibility  
27 standards, which determine who may file for "U" visa status. The court finds no indication  
28 that Congress intended to imply both a private right and a private civil remedy for aggrieved

1 plaintiffs who claim that they applied for a "U" visa and were wrongfully denied one. Nor do  
2 plaintiffs cite any legislative history that specifically addresses that issue.

3 The third factor – whether it is consistent with the statute's purpose to allow a private  
4 right – also does not support a finding of a private right of action. The stated purpose of the  
5 statute is two-fold. First, Congress wanted to "create a new nonimmigrant visa  
6 classification that will strengthen the ability of law enforcement agencies to detect,  
7 investigate, and prosecute cases of domestic violence, sexual assault, trafficking of aliens,  
8 and other crimes described in section 101(a)(15)(U)(iii) [of the INA] committed against  
9 aliens." Second, Congress wanted to "offer[ ] protection to victims of such offenses in  
10 keeping with the humanitarian interests of the United States." Pub. L. 106-386  
11 § 1513(a)(2)(A).

12 The court does not interpret the purpose of "offering protection to" crime victims as  
13 implying a private civil right of action under the statute. At most, there might be an implied  
14 right to apply for the "U" visa, but the gravamen of the FAC is that plaintiffs are seeking to  
15 enforce a right to receive "U" visas.

16 Plaintiffs argued in their opposition to the motion to dismiss the original complaint  
17 that federal courts have entertained suits by plaintiffs under the INA seeking immigration-  
18 related benefits. It is true that there are provisions within the INA that confer private rights  
19 of action. However, when faced with a statutory scheme in which some provisions provide  
20 for private rights of actions while others do not, the Supreme Court has found no private  
21 right of action where one is not specifically articulated. Touche Ross & Co. v. Redington,  
22 442 U.S. 560, 572 (1979).

23 Moreover, the cases cited by plaintiffs are distinguishable. For example, in Orantes-  
24 Hernandez v. Thornburgh, 919 F.2d 549 (9th Cir. 1990), one of the three cases cited by  
25 plaintiffs, the complaint alleged that INS officials and Border Patrol Agents had prevented  
26 members of the class from exercising their statutory right to apply for political asylum under  
27 the provisions of 8 U.S.C. § 1158(a), and had also interfered with the class members' ability  
28 to obtain counsel, a right guaranteed by 8 U.S.C. § 1362 and the due process clause. The

1 Ninth Circuit affirmed the permanent injunction, based on the violations of the INA.

2 However, the court did not consider the question whether the INA contained an  
3 implied private right of action. Moreover, the issue was the statutory right to apply for  
4 asylum, and the statutory right to be represented by counsel – not any statutory right to be  
5 granted asylum. This is a distinction that defendants make in the present motion with  
6 regard to the first cause of action, but not with regard to the third and sixth causes of  
7 action. Thus, the third Cort v. Ash factor does not favor a finding of an implied private right  
8 of action.

9 With regard to the fourth factor – whether the cause of action is one traditionally  
10 relegated to state law – the issues are purely federal law issues.

11 Defendants also argue that the third and sixth causes of action fail to state a claim  
12 for which relief can be granted. They contend that in each instance, the asserted claim is  
13 based on agency action committed to agency discretion by law, and that the court is  
14 required to give deference to USCIS' interpretation of the statute, so long as that  
15 interpretation is reasonable. However, having found no private right of action under the  
16 asserted provisions of the VTVPA, the court finds it unnecessary to address this argument.

17 4. Fourth cause of action – statutory claims

18 In the fourth cause of action, plaintiffs allege that defendants unlawfully failed to  
19 issue LECs to victims of crimes investigated by DHS and its divisions, and also failed to  
20 publish regulations or otherwise establish procedures governing the issuance of such  
21 certifications by DHS, in violation of the APA and the VTVPA. Defendants argue that the  
22 fourth cause of action should be dismissed for lack of jurisdiction and for failure to state a  
23 claim.

24 Defendants first argument is that the court lacks jurisdiction over the allegations that  
25 DHS and U.S. Customs and Border Protection ("USCBP") unlawfully refused to issue LECs  
26 because the actions plaintiffs complain of are actions within the unfettered discretion of  
27 USCBP. Specifically, defendants assert that the decision whether or not to issue an LEC is  
28 a discretionary decision within the context of 8 U.S.C. § 1252(a)(2)(B)(ii).

1 Defendants' second argument is that no plaintiff has standing to challenge USCBP's  
2 alleged failure to issue LECs or challenge DHS or USCBP's alleged failure to publish  
3 regulations or otherwise establish standards. The only plaintiffs who allege injuries that fall  
4 under the fourth cause of action are Rosa Amezquita Razo, Antonio Perez Garcia,  
5 Veronica Reyes Bonilla, and Melchor Vargas Silva. Amezquita Razo, Perez Garcia, and  
6 Reyes Bonilla all allege that they were helpful to the USCBP's investigation or prosecution  
7 of criminal activity, and that USCBP's refusal to issue an LEC was based on a "policy and  
8 practice against issuing any certifications regardless of the merits of any individual  
9 request." Vargas Silva alleges that he cooperated with the USCBP's investigation into a  
10 claimed felonious assault perpetrated by a Border Patrol agent, but that USCBP unlawfully  
11 refused to issue Vargas Silva an LEC.

12 Defendants assert that with regard to Amezquita Razo, Perez Garcia, and Reyes  
13 Bonilla, USCBP officially informed plaintiffs' counsel on May 17, 2007, that the Yuma,  
14 Arizona, Border Sector of USCBP, from which plaintiffs requested LECs, was not involved  
15 with the case and was therefore not able to provide the LECs, and stated further that the  
16 incident had been referred to U.S. Immigration and Customs Enforcement ("ICE"). Thus,  
17 defendants assert, none of these three plaintiffs can maintain a claim of injury based on  
18 USCBP's investigation and subsequent failure to provide LECs because the USCBP did  
19 not in fact pursue an investigation.

20 Defendants contend further that none of the injuries claimed by any of these four  
21 defendants is traceable to USCBP, where other federal, state, or local law enforcement  
22 agencies may have been better positioned to address plaintiffs' requests for LECs. In  
23 addition, they argue, any court order directing the development of regulations or policies  
24 concerning issuance of LECs by USCBP would not necessarily provide redress for  
25 plaintiffs' alleged injuries, as it is not clear that the result would be the issuance of LECs to  
26 each of them.

27 Defendants' third argument is that the APA precludes review of USCBP's alleged  
28 failure to issue LECs or otherwise establish standards because such actions are committed

1 to agency discretion and are not legally required. Defendants assert that they are not  
2 legally required to take the action that plaintiffs seek to compel, as 8 U.S.C. § 1184(p)(1)  
3 grants the Secretary of DHS discretion over whether to issue LECs. While it is true,  
4 defendants note, that VAWA 2005 mandated the promulgation of regulations implementing  
5 the “U” visa program, there is no requirement that DHS or any of its divisions take discrete  
6 action to specify the parameters of their own issuance of LECs – rather, DHS and its  
7 divisions are free to determine whether to fashion their own rules of procedure in  
8 discharging their duties.

9       In opposition, plaintiffs make four arguments. First, they argue that while 8 U.S.C.  
10 § 1252(a)(2)(B)(ii) may insulate the exercise of discretion in certain situations from judicial  
11 review, it does not remove jurisdiction to adjudicate a claim that defendants failed to  
12 exercise discretion at all.

13       Second, plaintiffs contend that defendants’ blanket refusal to exercise discretion is  
14 reviewable under the APA, because it is not a challenge to agency action committed to  
15 agency discretion by law, but rather a challenge to an agency’s failure to exercise  
16 discretion at all. They assert that where Congress has authorized an agency to issue  
17 regulations, the content of the regulations may be committed to the Secretary’s discretion,  
18 but this does not mean that the Secretary has unbridled discretion to refuse to issue any  
19 regulations. Plaintiffs claim that in the present case, defendants’ refusal to issue law  
20 enforcement certifications to the victims of crimes that defendants themselves have  
21 investigated is not a discretionary decision, but is a refusal to exercise discretion at all.

22       Third, plaintiffs claim that defendants’ alleged “absolute no-certification policy”  
23 violates the plain language and underlying intent of the VTVPA, because the VAWA  
24 Reauthorization Act specifically directed the Secretary to “promulgate regulations to  
25 implement the provisions contained in the Battered Immigrant Women Protection Act of  
26 2000.” Thus, plaintiffs assert, defendants were required to issue regulations.

27       Fourth, plaintiffs argue that they have standing to challenge defendants’ refusal to  
28 exercise discretion to issue U certifications. They claim that plaintiffs “have suffered an

1 injury as a direct result of and have standing to challenge defendants' demand for U  
2 certifications to accompany all "U" visa applications, and simultaneous refusal to issue U  
3 certifications when defendants' agencies are the ones involved in the criminal  
4 investigation." While plaintiffs do not refer to specific individual plaintiffs, they appear to be  
5 arguing that with regard to plaintiffs Amezquita Razo, Perez Garcia, and Reyes Bonilla, it is  
6 irrelevant that the Border Patrol office that plaintiffs applied to for the LECs was not the  
7 office that investigated the crime, because that office is part of "defendants' agencies."

8 The court finds that the motion must be GRANTED. First, defendants have  
9 established that Rosa Amezquita Razo, Antonio Perez Garcia, and Veronica Reyes Bonilla  
10 lack standing to challenge USCBP's alleged failure to issue LECs. The Yuma, Arizona,  
11 Border section of USCBP, from which Amezquita Razo, Perez Garcia, and Reyes Bonilla  
12 requested LECs was not involved in the case, and plaintiffs were informed of that fact when  
13 they requested the LECs. They lack standing to assert injuries based on the USCBP's  
14 investigation of the matter, as USCBP conducted no investigation.

15 The facts with regard to Melchor Vargas Silva are less clear. Defendants listed him  
16 in the same category (eligible for "U" visa but denied LEC by federal law enforcement  
17 authority) in their original arguments, but do not mention him in the reply brief. The facts  
18 presented do not support a claim that defendants failed to exercise discretion – just that the  
19 denial of the LEC was not reasonable or supported by the record. However, it is unclear  
20 why the LEC request was denied as to Vargas Silva.

21 Nevertheless, the court finds that defendants did not fail to exercise discretion in  
22 their denial of plaintiffs' requests for LECs. Rather, they did exercise their discretion – by  
23 denying the LEC requests.

24 Moreover, the denial of LECs is a discretionary act and not subject to judicial review.  
25 Under 8 U.S.C. § 1184(p), a "U" visa applicant is required to obtain an LEC stating that the  
26 applicant "has been helpful, is being helpful, or is likely to be helpful" in the investigation or  
27 prosecution of criminal activity. However, the statute does not contain any mandatory  
28 language with regard to actions by law enforcement officials. Specifically, there is no

1 statutory directive to law enforcement officials to issue LECs.

2 Under the REAL ID Act, the courts lack all jurisdiction over challenges to “any  
3 decision or action of the Attorney General or the Secretary of Homeland Security the  
4 authority for which is specified under this subchapter [8 U.S.C. §§ 1151-1381] to be in the  
5 discretion of the Attorney General or the Secretary of Homeland Security,” other than the  
6 granting of asylum under 8 U.S.C. § 1158(a). See 8 U.S.C. § 1252(a)(2)(B); see also  
7 Freeman v. Gonzalez, 444 F.3d 1031, 1037 (9th Cir. 2006). Accordingly, the court lacks  
8 jurisdiction to review a claim regarding the denial of LECs.

9 5. Due process claims

10 The FAC alleges due process violations in all causes of action except the fifth.  
11 Defendants contend that the due process claims should be dismissed because plaintiffs fail  
12 to state a cognizable due process interest. They assert that plaintiffs’ opposition appears to  
13 rely on authority that might establish a right to apply for certain immigration benefits, but not  
14 to the ultimate receipt of those benefits.

15 Defendants assert that each of plaintiffs’ claims in the FAC is based on a unilateral  
16 expectation of a benefit, such as the receipt of a “U” visa, a derivative visa, LPR status,  
17 naturalization, or an LEC; or the promulgation of regulations regarding the issuance of law  
18 enforcement certifications; or the issuance of employment authorization. Defendants argue  
19 that where, as here, plaintiffs have not established a due process right to any of these  
20 benefits, the court should dismiss the due process claims asserted in each of the five  
21 causes of action.

22 In opposition, plaintiffs assert that they have a cognizable due process interest in  
23 applying for and receiving an adjudication of their eligibility for “U” visas, and in obtaining  
24 related benefits. In support, they cite Goldberg v. Kelly, 397 U.S. 254 (1970) (welfare  
25 recipients had claim of entitlement to welfare payments grounded in statute defining  
26 eligibility, and had right to hearing at which they might attempt to demonstrate eligibility);  
27 Mallette v. Arlington County Employees’ Supplemental Retirement Sys. II, 91 F.3d 630,  
28 638-39 (4th Cir. 1996) (plaintiff had due process right to apply for statutory benefits,

1 collecting cases); Orantes-Hernandez, 919 F.2d at 553 (plaintiff had due process right to  
2 apply for political asylum); Haitian Refugee Ctr., Inc. v. Nelson, 872 F.2d 1555, 1562 (11th  
3 Cir. 1989) (plaintiffs had due process right to apply for Special Agricultural Worker amnesty  
4 status); and Griffeth v. Detrich, 603 F.2d 118, 120-22 (9th Cir. 1979) (plaintiff had due  
5 process right to apply for general relief).

6       The Fifth Amendment prohibits the United States from depriving persons of “life,  
7 liberty, or property, without due process of law.” U.S. Const. amend. V. As a threshold  
8 requirement to any due process claim, the plaintiffs must show that they have a protected  
9 property or liberty interest. Board of Regents of State Colleges v. Roth, 408 U.S. 564,  
10 569-71 (1972). To establish a liberty or property interest, the plaintiff must demonstrate  
11 that the Constitution or a federal or state statute grants him a protected right. See Kwai  
12 Fun Wong v. United States, 373 F.3d 952, 967-68 (9th Cir. 2004).

13       The FAC does not allege that the statutes at issue in this case create a protected  
14 interest. While the Supreme Court has held that non-discretionary statutes may create  
15 property interests for the purpose of procedural due process, it has also ruled that “[a]  
16 benefit is not a protected entitlement if government officials may grant or deny it in their  
17 discretion.” Town of Castle Rock v. Gonzales, 545 U.S. 748, 756 (2005).

18       The court finds that the motion must be GRANTED. The statutes at issue here are  
19 discretionary. Plaintiffs do not allege that they qualified for “U” visas under the statute and  
20 regulations but were prevented from applying for “U” visas – just that they have not  
21 received them. However, they do not allege facts or cite legal authority showing that they  
22 have a constitutional due process right to receive “U” visas, nor have they even alleged  
23 facts showing that their due process rights were violated during the course of the  
24 application process.

25       6.       Equal protection claims

26       In the fifth cause of action, plaintiffs allege that the “U” visa provision at 8 U.S.C.  
27 § 1101(U)(i)(III) operates in conjunction with 8 U.S.C. § 1184(p) to deny “U” visa eligibility  
28 to undocumented parents of U.S. citizen children who are the victims of crimes and who

1 cooperated with law enforcement agencies in the investigation of such crimes (or whose  
2 parents so cooperated), while granting "U" visa eligibility to the immigrant parents of  
3 undocumented and lawful permanent resident children. Plaintiffs assert that this unequal  
4 treatment violates the equal protection guarantees of the Fifth Amendment. Plaintiffs also  
5 allege equal protection violations in the first through fourth and sixth causes of action.

6 Defendants argue that the equal protection claims should be dismissed because  
7 none of the plaintiffs allege membership in a burdened group and none allege purposeful  
8 discrimination.

9 In opposition, plaintiffs contend that the court "previously rejected this argument,"<sup>7</sup>  
10 adding that they "incorporate" the arguments previously presented to the court on this issue  
11 in their July 18, 2007, opposition to the previous motion to dismiss. In their opposition to  
12 the previous motion, plaintiffs argued that the challenged classification scheme "burdens  
13 innocent children's right to family integrity" and therefore "must . . . be substantially related  
14 to an important government interest."

15 They asserted that because the challenged classification system under the "U" visa  
16 "targets innocent children and burdens their right to family integrity," intermediate scrutiny –  
17 not the rational basis test – should be applied. Plaintiffs noted that in Plyler v. Doe, 457  
18 U.S. 202, 221 (1982), the Supreme Court held that intermediate scrutiny should be applied  
19 when a law discriminates against children on the basis of their immigration status and  
20 burdens their interest in public education. According to plaintiffs, "the Court found  
21 education sufficiently important to warrant intermediate scrutiny where the classification  
22 singled out children for a status."

23 Plaintiffs asserted that their case for intermediate scrutiny in the present case "is  
24 even stronger," as the statute burdens U.S. citizen children because they are citizens,  
25 denying them the support of their parents based on "a status they acquired at birth and for  
26 which they bear no responsibility." The remainder of this excerpt from plaintiffs' previous  
27

---

28 <sup>7</sup> Plaintiffs' assertion notwithstanding, the court did not previously rule on this issue.

1 oppositions seems to be directed toward the arguments that defendants made in the  
2 original motion to dismiss. Plaintiffs do not directly respond to the argument defendants  
3 make in this motion.

4 The Equal Protection Clause of the Fourteenth Amendment commands that no state  
5 shall "deny to any person within its jurisdiction the equal protection of the laws," which  
6 amounts to a direction that all persons who are similarly situated should be treated alike.  
7 City of Cleburne v. Cleburne Living Center, 473 U.S. 432, 439 (1985). The equal protection  
8 component of the Fifth Amendment's Due Process Clause imposes a similar obligation on  
9 the federal government. High Tech Gays v. Defense Indus. Security Clearance Office, 895  
10 F.2d 563, 570-71 (9th Cir. 1990).

11 In order to maintain an equal protection claim, plaintiffs must identify membership in  
12 a classification or group whose rights have been burdened by defendants' discriminatory  
13 application of the law, or whose rights are differently burdened than those of other groups.  
14 Freeman v. City of Santa Ana, 68 F.3d 1180, 1187 (9th Cir. 1995). Here, however, no  
15 plaintiff has alleged that he/she/it is a victim of any purposeful discrimination. Thus,  
16 plaintiffs have not stated a claim of an equal protection violation, and the motion must be  
17 GRANTED.

18 Moreover, in connection with the fifth cause of action, plaintiffs claim that the VTVPA  
19 discriminates against (undocumented) immigrant parents of U.S. citizen children crime  
20 victims because those parents cannot obtain derivative "U" visas, while the  
21 (undocumented) immigrant parents of (undocumented) immigrant children crime victims  
22 can. However, none of the plaintiffs alleges that he/she is an (undocumented) immigrant  
23 parent of a U.S. citizen child crime victim. Thus, no claim is stated.

## 24 CONCLUSION

25 In accordance with the foregoing, the court GRANTS the motion to dismiss. To the  
26 extent that plaintiffs intended to allege in the second cause of action that plaintiffs Marlinda  
27 Clarke, Patricia Garcia, and Blanca Rossell were unlawfully prevented from applying for  
28 derivative "U" visas, a claim that presupposes that the principal beneficiaries from whom

1 they derive their derivative status applied for "U" visas, plaintiffs may amend the complaint  
2 to so state. To the extent that plaintiffs believe that the pleading of additional allegations  
3 will produce a cognizable claim of due process or equal protection violations, they may do  
4 so. These are the only claims that plaintiffs may amend. Otherwise, the dismissal is  
5 without leave to amend. Any amended complaint shall be filed no later than February 2,  
6 2008.

7

8 **IT IS SO ORDERED.**

9 Dated: December 22, 2008



10 PHYLLIS J. HAMILTON  
11 United States District Judge